|  |
| --- |
| ukieri-dst research and innovation partnerships (Supported by department of science and technology (DST), government of India) |
| http://www.iitk.ac.in/fmfp14/home_files/dstlogo.jpgukieri-logocall for proposals2018-19 |
| **www.britishcouncil.org www.ukieri.org** |

## SCHEDULE

|  |  |  |
| --- | --- | --- |
| **Sr. No.** | **Activities** | **Date/Month****(Tentative)** |
|  | Date of Advertisement for Call for Proposals | 28th November 2018 |
|  | Last date for receipt of queries related to submission of Proposal  | 5th January 2019 |
|  | Last date for acceptance of Proposals | 11th January 2019 |
|  | Date for Pre-bid Webinars & Workshops | 10-14th December 2018  |
|  | Date for display of list of shortlisted proposals for evaluation | 21st January 2019 |
|  | Date of results of finally selected proposals to be announced | 15th February 2019  |

# Background

1.1 About UKIERI

UK India Education & Research Initiative (UKIERI) started in April 2006 with the aim of enhancing educational links between India and the UK. It has been recognized as a key multi stakeholder programme that has strengthened the educational relations between the two countries and been successful in covering all segments of the education sector. Promoting joint research in Science and Technology has been one of the successful, strategic and key initiatives under reconstituted ‘Research and Innovation’ strand of UKIERI Phase III (2016-2021). The strand feeds into UKIERI aims to substantially improve educational links between India and the UK to ensure that in the longer term they become each other's partner of choice in education. This initiative focuses on developing jointly funded research and innovation partnerships with core societal benefit outcomes for both the countries.

1.2 About DST

The Department of Science and Technology (DST), Government of India is mandated to strengthen Research and Development base of the country by coordinating, promoting and supporting science, technology and innovation activities. DST serves as a nodal agency connecting the science sector to the Government verticals and industry. Various activities of the Department can be categorized into the seven heads: i) Policies that stimulate and foster value-added pursuit of science and technology, ii) Development of human resources iii) Institutional capacity building, iv) Technology capacity strengthening v) Science & Technology Competitiveness through Alliances & Partnerships, vi) Research & Development mission and vii) Science for societal empowerment at the grassroots.

DST establishes system and mechanism to stimulate and foster excellence and leadership in scientific research and development in the country. DST supports programmes that help to build chosen international alliances and partnerships with various countries both at bilateral and multilateral level. These activities are aligned with India’s development aspirations in scientific and technological fields that can contribute to the knowledge economy at national, regional and global level.

1.3 Phases of UKIERI

1.3.1 The aim of Phase 1 (2006- 2011) of the programme was to establish UKIERI as a framework to enable a step-change in the UK-India relationship in education and research.

1.3.2 Phase 2 (2011-2016) focused on thematic areas agreed by both countries which included Leadership Development, Innovation Partnerships, Skills Development and Enhancing Mobility.

1.3.3 UKIERI Phase 3 (2016-2021) has been launched in April 2016 and will work on the key priorities as identified by the two governments. The overarching aim for UKIERI Phase 3 is to build on the achievements of the previous two phases through a targeted approach focused upon maximizing impact. Fewer, long term and institution building activities will be undertaken to enhance the quality of education and research links across the two countries and these will be driven by and for practitioners.

UKIERI Phase III focusses on two strands:

Strand 1: Education and Training

Strand 2: Research and Innovation

This call is under Strand 2 of UKIERI focusing on developing jointly funded research and innovation partnerships with core societal benefit outcomes. The sub-strand mentioned above facilitates collaborations between the Indian and UK higher education institutions (public funded) to support work on new or existing research projects.

1.3.4 UKIERI funds various initiatives in India with Ministry of Human Resource Development, Department for Science and Technology, Ministry of Skill Development and Entrepreneurship, University Grants Commission and All India Council for Technical Education and from the UK by Department for Business, Energy and Industrial Strategy, Foreign and Commonwealth Office, Scottish Government, Welsh Government and Department for the Economy.

## 2. Subjects/Disciplines Covered

2.1 The partnerships will be open for the selected themes:

1. **Cyber physical systems**

The [advancement of cyber physical systems](https://nsf.gov/news/news_summ.jsp?cntn_id=131691) holds the potential to reshape our world with more responsive, precise, reliable, and efficient systems. They change the way people interact with the engineering systems, enabling a revolution of “smart” devices from smart cars to smart grids, collectively giving rise to smart cities that can address some of the [most pressing national priorities](https://www.nsf.gov/cgi-bin/good-bye?https://www.whitehouse.gov/sites/default/files/microsites/ostp/pcast-nitrd-report-2010.pdf). Through the means of DST, the Government of India has planned to set up 25 cyber physical systems across India. Working through a combination of innovation centres and research parks, these systems are expected to act as centres of excellence for research, training and skilling in robotics, artificial intelligence, digital manufacturing, big data analysis, and other allied areas.

1. **Waste management**

The management of solid waste is a universal challenge. Like most other countries, India is facing a massive waste management challenge led by rapid urbanisation in the country. Out of 62 million tons of the solid waste generated annually in Indian cities, only 43 metric tons is collected; about 12 metric tons is treated and the rest 31 metric tons is dumped in landfill sites. The challenge of managing this waste renders significance to numerous interrelated global developmental areas such as renewable energy, sustainable and smart cities, urban design, and climate change. Through the joint statement and policy dialogues, policymakers from both India and the UK have affirmed their commitment to work on this area together.

## Guidelines

The Guidelines for the UKIERI-DST Research and Innovation Partnerships is divided in two sections.

* 1. Section I: General Guidelines
	2. Section II: Specific Guidelines

Applicants are requested to read both sections before filling the application form.

SECTION I: GENERAL GUIDELINES

3.1.1 UKIERI Research & Innovation Partnerships is expected to look at one specific problem, taking a multidisciplinary approach. If the challenge is big for one university or a state level institution to tackle, then consortia of universities or institutions could be brought together to ensure that there is a strong approach of combining excellence and strengths of all participating institutions.

3.1.2 Social impact studies can be embedded within the projects but needs to be quantitative or mixed methods in nature.

3.1.3 UKIERI funding is designed to support the collaborative costs of the joint research project(s). It is anticipated that a considerable portion of UKIERI funds may be used to support exchanges between the research teams in the two countries. There are standard UKIERI or DST rates for most elements that may be charged to the initiative and all applications must use these rates. Eligible costs include staff, researchers and research student exchanges, with extended periods of research attachments, essential to deliver the project’s proposed outcomes. It should be noted however, that in line with promoting exchange between the participating research teams, all individuals spending time in the “other” country must be part of their home research team and spend the minimum required time with that team, and no more than the maximum allowable time in the other country (see below 3.2).

SECTION II: SPECIFIC GUIDELINES

3.2. A UKIERI project grants shall cover the following:

3.2.1 Research Staff and (Postdoctoral) Research Fellows’ (or equivalent) exchanges: it includes travel related and accommodation costs for a maximum duration of 15 days per year.

3.2.2 Research Students (Postgraduate and PhD) exchanges: it includes travel related and accommodation costs for a maximum duration of 89 days per year.

3.2.3 Reasonable costs directly associated with the research activities e.g. reagents and other specialist laboratory consumables, (of up to a maximum of 15% of the total amount requested from UKIERI).

3.2.4 Other costs, including staff costs, directly associated with the delivery of the project and not mentioned above, will be considered on an ad hoc basis.

3.2.5 Up to four visits each side, per year is permitted.

3.2.6 Any relevant activity pertaining to incubation (may further be explained).

3.2.7 Administration Overhead costs of up to 10% excluding travel and field work is permitted. This would cover data collation, accounting and other similar services.

NOTE: The international air-fare from, institute to institute (for example if a scholar is travelling from Manchester to Ahmedabad, the UK institution will be responsible for air fare from Manchester to Delhi to Ahmedabad and back), will be provided by economy class by the sponsoring country and hospitality will be borne by the receiving country.

UKIERI funds are not intended to contribute towards the costs of administration or other institutional overheads fully.

It is expected that institutions/scholars involved in the UKIERI partnership will ensure that all IPR related matters will be addressed appropriately.

## Eligibility Criteria

**4.1 For UK Applicant**

4.1.1 The Lead Applicant or PI (Principal Investigator) from UK institution must be a full-time teaching faculty or full time employed in a research institution in UK.

4.1.2` UK Lead Applicant should be an employee of a recognised UK university (https://www.gov.uk/check-a-university-is-officiallyrecognised/recognised-bodies) or not-for-profit UK research organisations and resident within the UK. EU citizens may apply provided they hold a permanent position in a UK institution. Non-EU citizens may apply provided they hold a permanent position at a UK institution for at least three consecutive years.

**4.2 For Indian Applicant**

4.2.1 Indian Lead Applicant or PI (Principal Investigator) should be scientists/ faculty members working in regular capacity in universities, academic institutes and national research and development laboratories/ institutes.

4.2.2 The Indian PI should not be retiring or leaving the parent institute during the proposed duration of the project.

4.2.3 The Indian faculty /scientist involved in the implementation of two or more projects supported by DST (International Group) and which are not expected to be completed by March 31, 2019 are not eligible to be a member of Research team/PI.

5. Joint Application

5.1 The project applied for, must be a joint submission by the UK and Indian teams, through their institutions.

5.2 The application submitted must be endorsed by competent authorities in Lead Applicants’ institutions from the UK and India.

## 6. Duration & Value of Partnerships

6.1.1 UKIERI funds are available for partnerships of up to two years in duration. The start date for the project shall be considered from March 2019 and completion date shall be considered as March 2021.

6.1.2 UKIERI partnerships will provide for a maximum of £200,000 over the duration of the project.
Quantum of funding for the project would be decided by the Project Grant Committee of DST and UKIERI independently. This will be based on Activity Plan and justification submitted by the lead partners of participating institutions.

## 7. Pre-Bid Workshops & Webinars

7.1.1 Pre-bid workshops will be delivered by the British Council area teams during the application phase across India and the UK. Interested applicants could register for the workshops once they are announced as a Call for Workshop on UKIERI and British Council websites.

7.1.2 Information Webinars would be delivered by the UKIERI national team to support the participants during the application process.

7.1.3 The applicants are requested to send the queries in advance at ukieri@britishcouncil.org. The applications are advised not to make frequent enquiries about the result.

## Submission of Application

8.1 Application must be submitted using UKIERI online portal. The application can be submitted by Lead applicant of either UK or Indian institute. The application must be submitted by online by January 11, 2019 5:30 UK time. **(****Link for an Online** **Portal for applications will be available from December 10, 2018)**

For attention of Indian Lead Researcher: Once application is submitted on UKIERI online portal a PDF copy of application will get generated and will be sent to registered email address. The Indian lead researcher is expected to log in on DST portal

([www.onlinedst.gov.in](http://www.onlinedst.gov.in)). After registration, move to scheme and format section where details about this call would be available in the International Cooperation (bilateral) section.
Indian applicant must submit a copy of application form (Project proposal submitted on UKIERI link) along with other annexures on the portal. Applications submitted on both UKIERI and DST portal both will considered for evaluation.

8.2 The Applicant shall provide all the information sought under this Call for Proposal. Applications that are received in the required format and complete in all respects shall only be shortlisted and subsequently evaluated. Please note that any application which is incomplete– including the omission of any signatures and dates on the hard copy version – shall not be shortlisted. Read guidelines in section II for filling-up the application form.

8.3 Only the application in response to this Call for Proposal shall be submitted. Lead applicant(s) and their teams must submit only one application form. Lead applicant shall keep all the other team members/ partners of the project team informed about updates on the project. Any applicant, who submits or participates in more than one application, will be disqualified and will also cause the disqualification of the partnership of which it is a member.

8.4 Applicants are also requested to submit one hard copy duly to DST by January 18, 2019 on address given below.

Dr. Sulakshana Jain
International Division
Department of Science & Technology
Technology Bhawan, New Mehrauli Road
New Delhi- 110016

Email: jain.s@nic.in

8.5 Applications received after closing date will not be accepted.

## 9. SELECTION Process

There would be a three-stage process for selection of the research projects.

### 9.1. Stage One: Initial Screening

9.1.1. After the due date is over, short listing of application forms shall be done by UKIERI Secretariat and DST.

9.1.2. Under the below given conditions, the application forms shall be rejected:

* Application received after due date.
* Incomplete application.
* Application without endorsement from the respective institutes of India and UK.
* Application without all attachments (Annexures would include Project Proposal and Financial Plans).

### 9.2. Stage Two: Evaluation by panel of subject experts

9.2.1. All projects shortlisted at stage one shall be assessed by sector assessment panel of DST and UKIERI, which will include external assessors. The assessment panel will evaluate the projects as per published assessment criteria. A merit list will be prepared by the panel based on the evaluation criteria. The scores and comments received for each application will be analysed further by the Project Grant Committee.

### 9.3. Stage Three: Final Selection and approval by Project Board

9.3.1. An evaluation of the quality of the proposals, including the proposed budget, and of the capacity of the applicant and its partners, will be carried out in accordance with the evaluation criteria. The final decision on selection of projects for grant support shall be made by UKIERI and DST Project Board.

## Evaluation Criteria

10.1. Only those Applicants who meet the eligibility criteria shall qualify for evaluation under this Section. Applications of institutions who do not meet these criteria shall be rejected.

10.2. Proposals will be assessed based on competence and capability is proposed to be established by the following parameters:

|  |  |
| --- | --- |
| **Parameter** | **Weightage (%)** |
| OPERATIONAL CAPACITY AND RELEVANCE (to the specified themes) | 15 |
| PROPOSAL SPECIFIC CRITERION (Objectives with clearly defined Outcomes, Social Relevance, Equity and Gender etc. as applicable) | 45 |
| UKIERI SPECIFIC CRITERION (Sustainability, Mutuality and Complementarity of both India and UK)  | 20 |
| BUDGET AND COST EFFECTIVENESS | 20 |

## Intimation to Lead ApplicantS

11.1 All the Lead Applicants will be informed about its being selection or rejection by the UKIERI Secretariat and DST.
**Monitoring and Evaluation**

12.1. Each Project will be jointly monitored by the UKIERI Secretariat and Department of Science and Technology (DST).

12.2 The Lead Applicants shall submit bi-annual progress reports during the project period. Any discrepancy in the use of Grant or any other issue related to the project shall be communicated to UKIERI Secretariat and at the earliest.

12.3 Joint Reports should be submitted every 6 months to the UKIERI Secretariat clearly indicating the achievements made within 6 months of the report period against the indicators mentioned in the work plan. At the end of the project, a closure report is to be submitted to UKIERI Secretariat.

12.4 UKIERI Secretariat and DST have the right to withdraw the project if Project Implementation varies widely in relation to the proposal submitted against the call for proposal.

## Signing of contracts

13.1 Contract shall be signed between UKIERI and UK institutions for the selected project proposals.

13.2 Sanction letters would be issued by DST for Indian institutions for the selected project proposals after submission of requisite documents by project investigators.

## Transfer of grants

14.1 After signing of the contract, money will be transferred to the UK institutions by UKIERI through British Council.

14.2 Indian institutions will receive grant from DST through Public Finance Management System (PFMS) and to private institutions/NGO after registration in Darpan Portal.

##  aPPLICATION PREPARATION & SUBMISSION

## 15.1 About Application Form

* + 1. There are 4 parts of the Application Form as given below. All parts of the Application shall be filled within the word limit mentioned against each point.

PART A: Brief About the project

PART B: Principal partners involved

PART C: Project Proposal

PART D: Financial Proposal

* + 1. There is one Application form along with three Annexure I (Project Work Plan) and Annexure II (Financial Proposal) and Annexure III (Template for CV). The forms and annexures shall be duly filled to avoid any ambiguity.

## 15.2 Project Work Plan

Project Work Plan should be filled in detail with activities demarcated for each year separately along with its outcome and indicators.

No modification shall be entertained after submission of the application form.

## 15.3 Industry Partnership

Applications shall clearly mention the plan of engagement with industry. It must also mention any tangible project outcomes in terms of any prototype/products or processes developed for industrial or society use.

## 15.4 Financial Proposal

15.4.1 Please summarize the estimated costs (£ Sterling) over the period of the project on an annual basis. Please assume the start date for project as 1st January 2019. As the financial year starts from April, the estimated cost for 1st January 2019 – 31st March 2019 needs to be specified separately.

15.4.2 All travel and accommodation cost where applicable; should normally be the most reasonably priced economy class. The scholars will abide by the national policy of the respective government about travel.

15.4.3 Project specific costs (up to 15% of the total project funding): Funds can be used to contribute for such needs. However, funds cannot be employed to meet the regular administrative costs or running costs of the institution.

15.4.5 Any other costs to be met from UKIERI & DST funds should be itemized.

15.4.6 The following costs are not eligible under UKIERI:

1. debts and provisions for losses or debts
2. interest owed
3. items already financed in another framework
4. currency exchange losses
5. direct costs of staff time
6. administration or other overheads

15.4.7 Please use pounds sterling (GBP or £) as the unit of currency throughout the financial proposal, even though some costs will be incurred in (INR) Indian rupees for UK participants. Similarly, Indian participants use INR as the unit of currency in their proposal for the funds required from DST. Please double check all amounts and calculations in this section, as it is an important part of the scoring of the proposal, and changes cannot be made after submission, nor will the UKIERI team or assessors check or recalculate on your behalf. Please summarize the estimated costs (£ sterling) over the period of the project on an annual basis.

**15.4.8 UKIERI has indicative rates given below for allowances:**

#### Indicative Allowances for Indian Research Team Members Travelling to the UK

* Monthly allowance for Research Staff and Fellows: £1700
* Monthly allowance for Research Students: £1300
* Visas: For any number of visits up to 6 months duration each in any 6-month period: £150
* The receiving side will provide support to visiting side on finding best reasonable accommodation within institution or an external venue.

#### Indicative Allowances for UK Research Team Members Travelling to India

* Daily allowance for Research Staff and Fellows: 2500 INR
* Daily allowance for Research Students: 1000 INR
* Visas: For any number of visits up to 6 months duration each in any 6-month period: £80
* Receiving side will provide support to visiting side on finding best reasonable accommodation within institution or at an external venue.

15.4.9 Grants/ financial support from other sources shall also be specified explicitly in the Financial Proposal.

15.5 The website of UKIERI may kindly be referred to for Frequently Asked Questions.

15.6 Applicants please check all the components of Application forms before submitting it.

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| **Sr. No.** | **Particulars** | **Checklist (Yes/ No)** |
|  | Applicant is meeting the eligibility criteria |  |
|  | Application Form is complete |  |
|  | Work Plan is attached |  |
|  | Financial Proposal is attached |  |
|  | CVs of lead applicant from UK and India are attached |  |

**Document Templates to be used (Will be available on online link of UKIERI from December 10, 2018)**

Please download a copy of the following document templates and use them for uploading information wherever requested throughout this application. These are also part of this document as well as you will scroll.

1. [Annexure I- Project Work Plan](https://apply.gmt.britishcouncil.org/test/_static/UKIERI/Annexure%20I-%20Project%20Work%20Plan%20-%20DST%20Aug%202017.xlsx)
2. [Annexure II- Financial Proposal](https://apply.gmt.britishcouncil.org/test/_static/UKIERI/Annexure%20II-%20Financial%20Proposal-%20DST%2014%20Aug%202017.xls)
3. [Annexure III - CV Format for UK Lead Applicant & India Lead Applicant](https://apply.gmt.britishcouncil.org/test/_static/UKIERI/CV%20Format%20for%20India%20Lead%20Applicant.doc)

**How we use your information**

The British Council will use the information that you are providing for the purposes of processing your application, making any awards, monitoring and review of any award.

We shall share any necessary data on your application with the UK Department for Business, Energy and Industrial Strategy (BEIS), and with our funding partners in your country in order to assist with management of the application process; any decisions on grants will be made in collaboration with them.

Organisation details, where collected, are used for monitoring and evaluation and statistical purposes. Gender information, where collected, is used solely in preparing statistical reports.
The British Council collects country of origin for reporting and statistical purposes and to contact you within your own country.

Under UK General Data Processing Regulation (GDPR), you have the right to ask for a copy of the information we hold on you, and the right to ask us to correct any inaccuracies in that information. If you want more information about this please contact your local British Council office or the Data Protection Team infogovernance@britishcouncil.org or see our website <https://www.britishcouncil.org/privacy-cookies/data-protection>.

**IMPORTANT**

Please ensure that you fill the below questionnaire before proceeding to the next step. This will determine whether you as an applicant is eligible to apply for the DST-UKIERI call for research proposals 2018.

If you do not meet any of the below mentioned eligibility criteria, you would be unable to submit this application for further review

Are you a UKIERI Awardee? Yes No

Have you submitted all the financial closure reports Yes No

The Lead Applicant is a full-time member of faculty Yes No

\*The UK institution is in the list of recognised institutions

(refer link - <https://www.gov.uk/check-a-university-is-officially-recognised/recognised-bodies>)

 **Or**

 is in the list of not-for-profit UK research organisations (refer link- <http://www.rcuk.ac.uk/documents/documents/eligibilityiros-pdf/>)

\*The UK Lead Applicant is a resident within the UK / has held a position in a UK institution for at least three consecutive years **Yes No**

\*The UK Lead Applicant is an EU citizen who holds a permanent position in a UK institution **Yes No**

\*The Indian institution receives research grants from the DST **Yes No**

\*The Indian Lead Applicant has registered online through the DST portal **Yes No**

\*Indian Lead Applicant is presently not implementing two or more projects supported by the International Division of science & Technology  **Yes No**

\*The proposal is a joint proposal **Yes No**

\*The proposal is endorsed by the respective institutions **Yes No**

1. **Brief about the Project**

**\*Project Title**

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**\*Project Area (*Themes Specified in UKIERI-DST Call for Proposals*)**

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**\*Project Goal & Objectives (*(please mention only 3 main objectives)***

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**\*UK Lead Partner**

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**\*India Lead Partner**

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Total Value of Grant requested

**\*GBP (on UK Side)**

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**\*INR (on India side)**

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**Total Grant Requested in GBP: (Calculated based on exchange rate of 1 GBP = INR 84.200)**

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**\*Project Start date**

**(April 2019 onwards)**

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| --- | --- | --- |
| Date  | Month | Year |

**\*Project end Date**

**(Before March 2021)**

|  |  |  |
| --- | --- | --- |
| Date  | Month | Year |

**B. Lead Partners Involved**

**\*UK Lead Applicant Name**

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**\*Institution**

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**Institution Address**

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| --- |
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 \*Address line 1

 Address line 2

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\*Town/city

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\*Post code

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\*Phone number

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\*Email

**UK Group:** Please give a brief about experience of the lead applicant and the team in the subject area pertaining to the project (max 200 words).

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\*Attach CV of lead applicant in the prescribed format (Annexure III)

 CV upload (attach)

**\*India Lead Applicant Name**

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**\*Institution**

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**Institution Address**

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 \*Address

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| --- |
| List of countries  |
|  |

\*Country

\*Phone number

**India Group:** Please give a brief about experience of the lead applicant and the team in the subject area pertaining to the project (max 200 words).

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\*Attach CV of lead applicant in the prescribed format (Annexure III a)

 CV upload (attach)

**Other partners**

|  |  |  |  |
| --- | --- | --- | --- |
|  | Institute/organization name | Lead person phone | Lead person email |
|  |  |  |  |

Add other partner

**Other Partners as appropriate (max 500 words):** Please provide a brief background note detailing the main experience of the team.

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**Industry Partners (max 500 words):** Please provide details of engagement with industry partner and their role in use of the project results.

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**C. Project Proposal**

**\*Brief Description of the Project (300 words):** Please summarise the purpose and benefits of the proposed collaborative project in language that might be used for a more general audience.

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**\*Scientific & Technical Details (1000 words):** Please describe in detail the scientific & technical aspects of the proposed project. This may be in more specialised language and may cover methodology, to demonstrate the research merit of the project.

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**\*Work Plan for the Period of the Project along with indicators for monitoring and evaluation; and Risk and Mitigation:** Please specify the work plan in the attached format (Please see [Annexure I](file:///C%3A%5CUsers%5Cjayagoyal%5CAppData%5CLocal%5CMicrosoft%5CWindows%5CTemporary%20Internet%20Files%5CRajshreesingh%5CAppData%5CLocal%5CMicrosoft%5CWindows%5CTemporary%20Internet%20Files%5CContent.Outlook%5C4LPFWF30%5CAnnexure%20I-%20Project%20Work%20Plan.xlsx)). The UKIERI Board requires a brief six-monthly report (Phase 1), an annual more substantial report for each project (Phase II) and; an evaluation report at the end of the project (Phase III).

 Work Plan Upload (**attach**)

**\* Proposed Project Outcomes (700 words):** Please summarise briefly the intended outcomes of the proposed collaborative project. Responses should include: (1) short term benefits to the individual & institution; and (2) lasting sustainable impacts at individual, institutional, sector and national level. Please consider knowledge transfer, training, patents, joint publications, community outreach, student outreach, business partnership, new technology development, policy level intervention etc. as appropriate.

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**\*Dissemination & Impact (300 words):** Please indicate how you intend to communicate the findings to a wider audience. Are any of the expected results likely to have commercial value? How do you propose to share it?

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**\*Exchanges (500 words):** Please list clearly details of movements of people between research teams on an annual basis showing the direction of exchange, including number and duration of visits.

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**Have you considered Intellectual Property Rights (IPR) associated with the project (300 words)?** Please indicate how you are addressing. (if applicable)

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**D. Financial Proposal**

\*Financial Proposal - Please upload the financial proposal in the attached format (Please see [Annexure II](file:///C%3A%5CUsers%5Cjayagoyal%5CAppData%5CLocal%5CMicrosoft%5CWindows%5CTemporary%20Internet%20Files%5CRajshreesingh%5CAppData%5CLocal%5CMicrosoft%5CWindows%5CTemporary%20Internet%20Files%5CContent.Outlook%5C4LPFWF30%5CAnnexure%20II-%20Financial%20Proposal.xls)). Please note that the extent of expenditure on approved exchange visits viz. international airfare for Indian scientists and local hospitality to the UK scientists as per approved norms. Some support for consumables and contingencies may be considered as per DST’s norms. Major items of expenditure should be met from internal resources of the Implementing Institution or any other sources.

Finance plan upload (attach)

**E. UKIERI Critical Impact Parameters (300 words each):** Please indicate how you will seek to ensure the project meets the following impact parameters.

1. **\*Long Term Sustainability:** Indicate how you will seek to ensure that the activities under the thematic project will be sustainable after the UKIERI project funding has finished.

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1. **\*Mutuality and Complementarity:** Indicate the likely benefits of the thematic project to the two nations - India and UK. Also indicate the scale and scope of the anticipated benefits.

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1. **\*Inclusion and Capacity Building:** Indicate the methods/tools used for social inclusion and capacity building through this thematic project.

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1. **\*Industry Engagement:** List clearly details of association with businesses, industry and corporate through the project.

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1. **\*ICT, Digital tools and Innovation:** List clearly details of how you would incorporate ICT, digital platforms and concept of innovation to outreach in the project.

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|  |

**F. Peer Reviews**

**Please provide the names of two peer reviews, one for each lead partner.** These should be independent of the teams involved. We might contact either one or both for their assessment.

**Assessor 1**

 Name

Non-UK address

\*Address line 1

Address line 2

\*Town/city

\*Post code **\*Phone number**

**\*Email**

Relationship to assessor

**Assessor 2**

Name

Non-UK address

\*Address line 1

Address line 2

\*Town/city

\*Post code

**\*Phone number**

**\*Email**

Relationship to assessor

**Further Documents**

Please upload a signature for each person below. Image files or PDF are accepted.

Signature of Lead Applicant (UK)

Attach

Signature of Head of Institution or Alternate with Delegated Authorities (UK)

Attach

Signature of Lead Applicant (India)

Attach

Signature of Head of Institution or Alternate with Delegated Authorities (India)

Attach

**Submission**

Please note that a confirmation email containing your ID application number will be sent to your email address. If you do not receive the email following the submission of this form, please contact us: **ukieri@britishcouncil.org**within 3 working days from the deadline, otherwise your application will be considered ineligible. Your application ID number should be mentioned in all the communications with the British Council.

The British Council wishes to publish information on successful applications (including the summary provided on the first page of this form) on their website, in promotional materials disseminated through any medium, and in reports and documents. The British Council will not publish personal details on their website or via other media without prior permission. I agree to information on this form, excluding information about individuals, being put on the British Council website and used in promotional materials.

Your application ID is shown below. **Please use this ID in all correspondence with British Council.**

**Application ID **

**After you submit your application you will not be able to edit your application in any way, and you will not be permitted to create a second application. Please also be advised that the UKIERI Team do not have permission to make changes to your application.**

**Annexure 1 Work Plan**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  |  |  |  |  |  |  |
| **DST - UKIERI Call for Research Proposals: Project Work Plan & Timelines** |
| **Project Title** |  |   |
| **UK Lead Institution** |  |   |
| **Indian Lead Institution** |  |   |
| **Project start date** |  |   |
| **Project end date** |  |   |
| **Project Activities {Year-wise)**( Please include the details of exchange visits, workshops, trainings, dissemination and outreach activities and evaluation Reports after each phase) | **Specify roles of India and UK partners for each activity** | **Timelines**(Please provide details of expected timelines of each activity listed) | **Outcomes**(Please list down the key expected outcomes such as knowledge transfer, training, patents, joint publications, community outreach, student outreach, business partnership, new technology development, policy level intervention etc. for each activity listed) | **Indicators** (Please mention indicators against outcomes for monitoring and evaluation) | **Risks Associated**  | **Measures to mitigate risks**  |
|   |   |   |   |   |   |   |
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**Annexure 1 Finance Plan**

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| --- |
| **DST-UKIERI Finance Sheet** |
| *Reference No (for official purpose only)* |  |
| **UK Costs (Year wise)** |
| **Expenditure** | **Number of Units** | **Per Unit** | **Total** |
| **Visit of UK team to India (include Fare+ Visa fees + Medical insurance)** |   |   |   |
| *International Fare* |   |   |   |
| *Visa Fees* |   |   |   |
| *Overseas Medical Insurance by silver class for visit duration* |   |   |   |
| **Visit of India team to UK (Local travel + accommodation + Allowances)** |   |   |   |
| *Local Travel* |   |   |   |
| *Accommodation* |   |   |   |
| *Allowances/Subsistence’s* |   |   |   |
| **Project costs (include purchase of any software/books relevant to project)** |   |   |   |
| *Consumables* |   |   |   |
| *Marketing, Promotion and Dissemination* |   |   |   |
| *Institutional Overhead* |   |   |   |
| *Contingency* |   |   |   |
| ***Total UKIERI funding requested*** |   |   |   |
|  |   |   |   |
| **India Costs (to be quoted in INR Year wise)** |
| **Expenditure** | **Number of Units** | **Per Unit** | **Total** |
| **Visit of India team to UK (include Fare+ Visa fees + Medical insurance)** |   |   |   |
| *International Fare* |   |   |   |
| *Visa Fees* |   |   |   |
| *Overseas Medical Insurance by silver class for visit duration* |   |   |   |
| **Visit of UK team to India (Local travel + accommodation + per diem)** |   |   |   |
| *Local Travel* |   |   |   |
| *Accommodation* |   |   |   |
| *Allowances/Subsistence’s* |   |   |   |
| **Project costs (include purchase of any software/books relevant to project)** |   |   |   |
| *Institutional Overhead* |   |   |   |
| *Marketing, Promotion and Dissemination* |   |   |   |
| *Consumables* |   |   |   |
| *Contingency* |   |   |   |
| ***Total DST funding requested*** |   |   |   |
|  |   |   |   |
| **External Funding Contributions (from Institution or other Sources)** | **Number of Units** | **Per Unit** | **Total** |
| Direct investment from institution (can include staff time) |   |   |   |
| Direct investment from other partners e.g. commercial sponsor  |   |   |   |
| Indirect contributions from institution – student fee and other discounts |   |   |   |
| Indirect contributions from institution – project costs |   |   |   |
| Other indirect or direct contributions (please specify) |   |   |   |
| ***Total*** |   |   |   |
|  |  |  |  |

**Annexure 3 CV FORMAT**

1.Name: First Middle Last

1. Profession:

3. Education:

4. Nationality:

5. Membership of Professional Associations:

6. Employment Record:

From:

Employer:

Position Held:

Summary:

From:

Employer:

Position Held:

Summary:

From:

Employer:

Position Held:

Summary:

7. Work undertaken that best illustrates related work (clearly showing role played, duration of input, complexity of work undertaken, and core competencies)

Name of assignment or project:

Year:

Location:

Client:

Main Project Features:

Positions held:

Activities performed:

Name of assignment or project:

Year:

Location:

Client:

Main Project Features:

Positions held:

Activities performed:

Name of assignment or project:

Year:

Location:

Client:

Main Project Features:

Positions held:

Activities performed:

Name of assignment or project:

Year:

Location:

Client:

Main Project Features:

Positions held:

Activities performed:

Name of assignment or project:

Year:

Location:

Client:

Main Project Features:

Positions held:

Activities performed:

8. List of Key Publications only:

Suggested Citation format:

Author, A. A., Author, B. B., & Author, C. C. (Year of publication). Title of journal article. Title of journal: Subtitle of journal, volume number (issue number), first page-last page.